

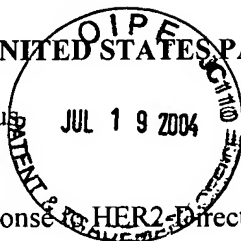
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sarah S. Bacus

Serial No.: 10/735,118

Filed: December 11, 2003

For: Method For Predicting Response to HER2-Directed Therapy



Group No.: 1642

Examiner: Unassigned

Confirmation No.: 4570

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION**

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed **March 29, 2004**. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH**

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

**POWER OF ATTORNEY**

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

**SEQUENCE LISTING**

- IV. Enclosed is a Statement Under 37 C.F.R. 1.321(F), Sequence Listing in printed format and on computer readable (ASCII) form on 3.5 inch diskette.

**PRELIMINARY AMENDMENT**

- V. Enclosed is a preliminary amendment.

VI.

**COMPLETION FEES**

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$0
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$0
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$0
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$130.00
5. Multiple dependent claim surcharge	\$0
6. Assignment (2) (See "ASSIGNMENT COVER SHEET".)	<u>\$80.00</u>
7. Total completion fees	<u>\$210.00</u>

**EXTENSION OF TIME**

- VII. This is a request under the provisions under 37 CFR 1.16(a) to extend the period for filing a reply in the above-identified patent application 2 month(s). Extension of time fee: **\$420.00**

**TOTAL FEE DUE**

- VIII. The total fee due is: Completion fees \$630.00

**TOTAL FEE DUE \$ 630.00**

**PAYMENT OF FEES**

Charge Account No. 23-2415 (6270-701.201) in the amount of \$ 630.00.

Please charge Account No. 23-2415 (6270-701.201) for any fees that may be due by this paper.

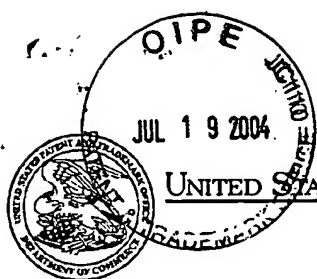
Date: July 19, 2004



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07-21-04

UNITED STATES PATENT AND TRADEMARK OFFICE

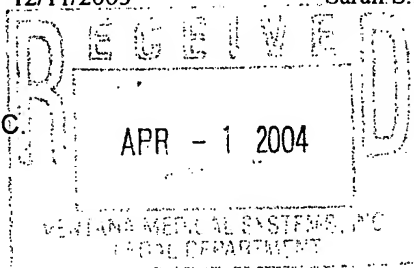
UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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P.O. Box 1450  
Alexandria, Virginia 22313-1450  
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1FW \$

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/735,118	12/11/2003	Sarah S. Bacus	161/006/PA

23874

VENTANA MEDICAL SYSTEMS, INC.  
1910 INNOVATION PARK DRIVE  
TUCSON, AZ 85737



CONFIRMATION NO. 4570

FORMALITIES LETTER



\*OC000000012207448\*

Date Mailed: 03/29/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

DOCKETED

APR - 1 2004

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216

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- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

Y. G.  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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